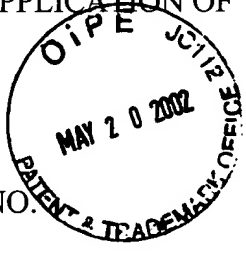


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF : Diane Smith  
FOR : Software for Financial Institution Monitoring and  
Management.  
SERIAL NO. : 09/965,981  
FILED : September 28, 2001  
EXAMINER : Unknown  
ART UNIT : Unknown  
ATTORNEY DOCKET NO. : 47117/14489

**RECEIVED**  
MAY 21 2002  
Technology Center 2100



Cleveland, Ohio 44115-1475  
May 15, 2002

**PRELIMINARY AMENDMENT**

Assistant Commissioner of Patents  
BOX Non-Fee Amendment  
Washington, DC 20231

Dear Sir:

Prior to examining this application, please enter the following amendment.